

# PRIVACY POLICY

Tucker Asset Management LLC, dba Tucker Wealth (TW), values the trust our clients have placed in us, and we are committed to the responsible management, use, and protection of our clients' personal information. We are proud of our privacy and confidentiality practices, and we want you to know how we protect your information. Please take a moment to review our Privacy Policy, as described below.

## Gathering Information

We treat the information we gather about you in a confidential manner. Tucker Wealth collects information about you to help us serve your financial needs, administer and update accounts, reduce fraud and other risks, provide customer service, offer new products or services, and fulfill legal and regulatory requirements. Any collection of personal information is to support our normal business operations and service your account. We do not sell personal non-public information about our clients to anyone.

In order to service your account, TW may collect non-public personal, financial and medical information from the following sources:

- Information on applications and related forms, or provided by you in person or over the phone, such as name, addresses, phone numbers, Social Security number, marital status, employment history, assets and income, investment objectives and experience, and medical information if applying for insurance.
- Information collected from your browser, when you visit our web-site and your browser interacts with us.
- Information regarding your transactions with us, our affiliates or others, such as products or services purchased, account balances and payment history.
- Information from your employer, bank, attorney, accountant, benefit plan sponsor, consumer reporting agencies, or other institutions regarding financial transactions or other information they are authorized to disclose to us.

## Sharing Information

Tucker Wealth does not disclose any non-public personal, financial or medical information about you to anyone, except as permitted or required by law. However, in the course of effecting transactions, providing account maintenance and otherwise servicing our accounts, we may share previously-described information about our clients with other service providers such as insurance companies, mutual fund companies, affiliated investment advisors, affiliated broker-dealers, custodians and transfer agents, banks, investment firms, technical application providers, and other advisors, as needed. We may also disclose your information to other organizations as permitted by law such as government agencies and law-enforcement officials (for example, for tax reporting or pursuant to a court order), or to other organizations and individuals with your consent (for example, to your attorney or tax professional).

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THE *crown* WE WEAR  
IS THE *service* WE GIVE 

\* For Financial Professional Use Only



## Safeguarding Privacy

Tucker Wealth maintains physical, electronic, and procedural safeguards to guard your non-public personal information and to ensure that we are complying with this Privacy Policy, confidentiality requirements for all of our employees, industry practices, and applicable federal and state regulations. We emphasize the importance of information security through this Privacy Policy, and the contracts and confidentiality agreements that we sign with external suppliers, partners and affiliates. We safeguard information by regularly assessing security standards and procedures to protect against unauthorized access to personal information. Should you ever decide to terminate your relationship with us, your information will continue to be treated confidentially and in accordance with the policies and practices as described herein.

*Note: Tucker Wealth will notify customers of our Privacy Policy at the inception of our business relationship and annually thereafter. TW reserves the right to change this Privacy Policy at any time, without notice. TW will notify customers of any modifications on an annual basis. If TW changes its policy to offer non-public personal information to non-affiliated third parties other than for the reasons mentioned above, TW will provide its customers with an option to exclude their data from inclusion in this information sharing program and certain disclosures may require us to get an "opt in" or "opt out" from you. If this is required, we will do so before information is shared.*

*Tucker Wealth is an investment advisory firm registered in various states. © 2025*

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